



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: PARK et al.

Serial No.: 10/039,357

Filed: November 7, 2001

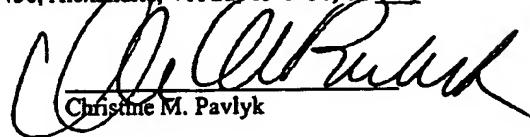
Title: APPARATUS AND METHOD FOR  
THIN FILM DEPOSITION

)  
Attorney Docket: TJK/203  
)  
Group Art Unit: 1763  
)  
Examiner: Zervigon  
)

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service by First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 10, 2004.

Date: 5/10/04



Christine M. Pavlyk

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR EXTENSION OF TIME

Dear Sir:

With reference to the final Office Action dated December 8, 2003, Applicant encloses a check in the amount of \$310. Applicant paid for a one month extension when filing the Response to the Office Action mailed on March 12, 2004 extending the time for response to April 8, 2004. Applicant encloses the difference in fees from a one month extension to a two month extension extending the term for response to May 8, 2004.

The Commissioner is authorized to charge Deposit Account No. 19-1351 for any additional fee deemed necessary.

A duplicate copy of this request is attached.

Date: 5/10/04

SEYFARTH SHAW LLP  
55 East Monroe Street, Suite 4200  
Chicago, Illinois 60603-5803  
Telephone: (312) 346-8000  
Facsimile: (312) 269-8869

05/14/2004 RMEBRAHT 00000068 10039357

02 FC:1252

310.00 OP

Adjustment date: 11/02/2004 SDIRETA1

05/14/2004 RMEBRAHT 00000068 10039357

-310.00 OP

02 FC:1252

Respectfully submitted,

\_\_\_\_\_  
Atty for Applicant(s)  
Timothy J. Keefer, Reg. No. 35,567

11/02/2004 SDIRETA1 00000005 10039357

155.00 OP

01 FC:2252

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

MAY 13 2004  
ENT & TRADEMARKS11/02/2004  
1768

**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>Application Number</b>	10/039,357
<b>Filing Date</b>	11/07/2001
<b>First Named Inventor</b>	PARK
<b>Art Unit</b>	1763
<b>Examiner Name</b>	ZERVIGON
<b>Attorney Docket Number</b>	TJK/203

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i.  Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply      iii.  Information Disclosure Statement (IDS)

ii.  Affidavit(s)/Declaration(s)      iv.  Other RCE

**2. Miscellaneous**

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a

a.  period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)

b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a.  Deposit Account No. 19-1351

i.  RCE fee required under 37 CFR 1.17(e)      05/14/2004 RMEBRAHT 00000068 10039357

ii.  Extension of time fee (37 CFR 1.136 and 1.17)      01 FC:1801 770.00 0P

iii.  Other \_\_\_\_\_

b.  Check in the amount of \$ 1080.00 enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Timothy J. Keefer	Registration No. (Attorney/Agent)	35,587
Signature		Date	5/10/04

**CERTIFICATE OF MAILING OR TRANSMISSION**

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Name (Print/Type)	Christine M. Pavlyk	Date	5/10/04
Signature		Ref. No.	0

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Adjustment date: 11/02/2004 SDIRETA1  
05/14/2004 RMEBRAHT 00000068 10039357  
-770.00 0P  
01 FC:1801

Ref. No.: 11/02/2004 SDIRETA1 Name/Number: 10039357  
Date: 5/10/04 FC: 0

11/02/2004 SDIRETA1 00000005 10039357  
385.00 0P  
02 FC:28801



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: PARK et al.

Serial No.: 10/039,357 ) Attorney Docket: TJK/203  
Filed: November 7, 2001 ) Group Art Unit: 1763  
Title: APPARATUS AND METHOD FOR THIN ) Examiner: Zervigon  
FILM DEPOSITION )

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service by First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 12 2004.

Christine M. Pavlyk

Date: 3/12/04

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR ONE MONTH EXTENSION

Dear Sir:

With reference to the Office Action dated December 8, 2003, Applicant respectfully requests a one-month extension of the term for response, from the present term of March 8, 2004, up to a new term of April 8, 2004.

A check is enclosed in the amount of \$110.00 for this one-month extension.

The Commissioner is authorized to charge Deposit Account No. 19-1351 for any additional fee deemed necessary.

A duplicate copy of this request is attached.

Respectfully submitted,

Attorney for Applicant(s)  
Douglas S. Rupert

Date: 3/12/04  
  
SEYFARTH SHAW LLP  
55 East Monroe Street, Suite 4200  
Chicago, Illinois 60603-5803  
Telephone: (312) 346-8000  
Facsimile: (312) 269-8869

Adjustment date: 11/02/2004 SDIRETA1  
03/16/2004 SSESH1 00000031 10039357  
01 FC:1251 -110.00 OP

CHI 10674342.1

11/02/2004 SDIRETA1 00000004 10039357  
01 FC:2251 55.00 OP

Repln. Ref: 11/02/2004 SDIRETA1 00000024600  
Date: 191351 Name/Number: 10039357  
FC: 9204 \$55.00 CR

03/16/2004 SSESH1 00000031 10039357  
01 FC:1251 110.00 OP

**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>Application Number</b>	10/039,357
<b>Filing Date</b>	11/07/2001
<b>First Named Inventor</b>	PARK
<b>Art Unit</b>	1763
<b>Examiner Name</b>	ZERVIGON
<b>Attorney Docket Number</b>	TJK/203

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Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i.  Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_  
ii.  Other \_\_\_\_\_

b.  Enclosed

i. <input type="checkbox"/> Amendment/Reply	iii. <input type="checkbox"/> Information Disclosure Statement (IDS)
ii. <input type="checkbox"/> Affidavit(s)/ Declaration(s)	iv. <input checked="" type="checkbox"/> Other RCE _____

**2. Miscellaneous**

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a:  
a.  period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)  
b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to  
a.  Deposit Account No. 19-1351

i. <input checked="" type="checkbox"/> RCE fee required under 37 CFR 1.17(e)	06/03/2004 MAHMED1 00000108 191351 10039357
ii. <input checked="" type="checkbox"/> Extension of time fee (37 CFR 1.136 and 1.17)	01 FC:1801 770.00 DA
iii. <input type="checkbox"/> Other _____	
b. <input checked="" type="checkbox"/> Check in the amount of \$ 1080.00	enclosed
c. <input type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed)	

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Timothy J. Keefer	Registration No. (Attorney/Agent)	35,567
Signature	<i>Tim J. Keefer</i>		

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Name (Print/Type)	Christine M. Pavlik	Date	<i>5/10/04</i>
Signature	<i>Christine M. Pavlik</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Adjustment date: 11/02/2004 SDIRETA1  
06/03/2004 MAHMED1 00000108 191351 10039357  
01 FC:1801 770.00 CR



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

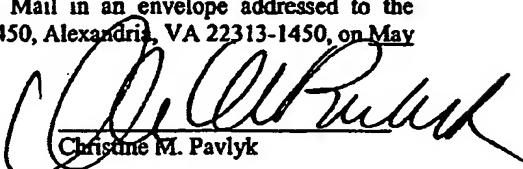
In re application of: PARK et al.

Serial No.: 10/039,357 ) Attorney Docket: TJK/203  
Filed: November 7, 2001 ) Group Art Unit: 1763  
Title: APPARATUS AND METHOD FOR ) Examiner: Zervigon  
THIN FILM DEPOSITION )

**CERTIFICATE OF FIRST CLASS MAILING**

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Date: 5/10/04

  
Christine M. Pavlyk

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR EXTENSION OF TIME**

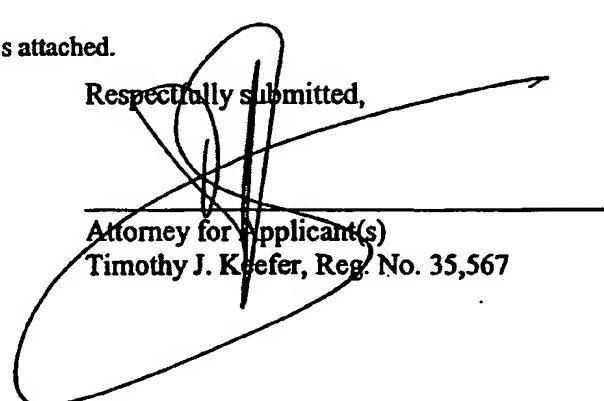
Dear Sir:

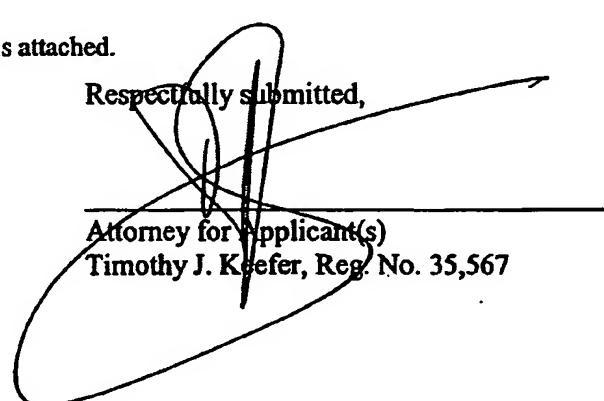
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The Commissioner is authorized to charge Deposit Account No. 19-1351 for any additional fee deemed necessary.

A duplicate copy of this request is attached.

Date: 5/10/04

  
Respectfully submitted,

  
Attorney for Applicant(s)  
Timothy J. Keefer, Reg. No. 35,567

SEYFARTH SHAW LLP  
55 East Monroe Street, Suite 4200  
Chicago, Illinois 60603-5803  
Telephone: (312) 346-8000  
Facsimile: (312) 269-8869  
06/03/2004 MAHMEDEI 00000108 191351 10039357

02 FC:1252 310.00 DA

Adjustment date: 11/02/2004 SDIRETA1  
06/03/2004 MAHMEDEI 00000108 191351 10039357  
02 FC:1252 310.00 CR

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**SEYFARTH**  
ATTORNEYS  
**SHAW** LLP

65 East Monroe Street  
Suite 6200  
Chicago, Illinois 60603  
(312) 345-8000  
Fax (312) 345-8300  
[www.seyfarth.com](http://www.seyfarth.com)

## Facsimile Transmission

Date: September 21, 2004

RECIPIENT	COMPANY	PHONE NO.	FAX NO.
OFFICE OF FINANCE – REFUND BRANCH	US PATENT AND TRADEMARK OFFICE		(703) 308-6778
FROM:	Timothy J. Keefer		
PHONE:	(312) 269-8552		
RE:	Rc: Application Serial No. 10,659,137 Applicant: Park et al Title: Apparatus and Method for Thin Film Deposition Our Ref. No. TJK/203/LW		

File No:	37403-400200	Number of Pages, Including Cover:	1
<input type="checkbox"/> Hard copy to follow <input type="checkbox"/> Per your request <input type="checkbox"/> Please telephone me		<input checked="" type="checkbox"/> Hard copy will not follow <input type="checkbox"/> Please review and revise if necessary	

## MESSAGE:

**CORRECTED REQUEST FOR REFUND!**

## To Whom It May Concern:

Please disregard the previous Request for Refund sent September 21, 2004 at 11:15 am (copy attached). It contained the incorrect Applicant, Title, patent number and our reference information.

In regard to the above-referenced application, fees for a Request for Continued Examination and Extension of Time were paid on May 10, 2004. Applicant inadvertently paid the large entity fee when, in fact, the Applicant was established as a small entity throughout the entire prosecution of this patent application.

Applicant respectfully requests a refund in the difference of the fees totaling \$595.00. Please credit Deposit Account No. 19-1351.

THE INFORMATION CONTAINED IN THIS FACSIMILE IS CONFIDENTIAL AND MAY ALSO CONTAIN PRIVILEGED ATTORNEY-CLIENT INFORMATION OR WORK PRODUCT. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED. IF YOU ARE NOT THE INTENDED RECIPIENT OR THE INDIVIDUAL OR ENTITY RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THE FACSIMILE IN ERROR PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

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